

GLOBALINKS
SECURITIES AND STOCKS, INC.

Member Philippine Stock Exchange Inc.

Unit 1801 PSE Tower, 5th Avenue corner 28th Street,
Bonifacio Global City, Taguig City, Philippines 1634

Office: +63 (02)8478-3357

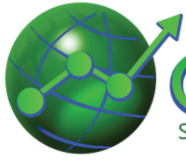
Exchange: +63 (02) 8839-2319 / +63 (02) 8839-2805

To: _____

Subject: Completion of Customer Account Information Form for Individual/ Retail Accounts

Pursuant to the Implemented Rules and Regulations of the Philippine Securities & Exchange Commission, we are requiring all clients to completely fill out the attached Customer Account Information Form and Specimen Signature Card. If more than one(1) person is named in the account information, each party is required to fill up the Client Account Information Form and provide us valid government issued Identification Cards(ID) SSS, GSIS, BIR, TIN, PRC and Employment ID where at least three(3) specimen signatures must be affixed in the provided photocopy.

Acceptable IDs include valid passport, driver's license, SSS, GSIS & BIR digital cards, PRC card and employee ID and other valid IDs issued by the government.



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CUSTOMER ACCOUNT INFORMATION FORM (CAIF)

CUSTOMER CODE: _____

PSE TC CODE: _____

Traditional Online

Individual Joint "And" Joint "Or" Corporate

Account Type: [] Cash [] Discretionary [] Institutional [] Margin

Account Name _____

Confirmation of Orders
 Courier Email Fax

AGENT CODE: _____

Date of Birth _____ Place of Birth _____ Citizenship _____

Residence Address _____ Zip Code _____

Telephone No. _____ Mobile No. _____ E-mail _____

Permanent Address (if different from above) _____

Civil Status Single Married Others Pls. specify _____ Name of Spouse _____

Occupation/Position _____ TIN No. _____ SSS/GSIS No. _____

Nature of Work/Business _____ Is the customer of legal age? () Y () N

Employer's Name _____ Is employer a registered B/D? () Y () N

Business Address _____ Zip Code _____

Name of Attorney-in-fact, if applicable _____

Officer or Director of an Exchange listed company? YES () NO () If YES, identify company _____

Customer was referred by: _____ How long known by Salesman introducing the account? _____

Investment Objective: [] Speculation [] Growth [] Broker Facilitation
 [] Preservation of Capital [] Long Term Investment [] Other _____

Bank Account Name	Bank	Branch	Account Number

Source(s) of Funds: Employment Business Pension Others _____

Annual Income: () < Php1 Million () < Php5 Million () < Php10 Million () Above Php25M

Assets: () < Php1 Million () < Php5 Million () < Php10 Million () Above Php25M

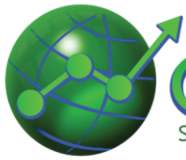
Asset Net Worth: () < Php1 Million () < Php5 Million () < Php10 Million () Above Php25M

Are duplicate confirmations required? () YES () NO

Identify to whom to be sent and relationship to customer _____

Account with Other Brokers/Dealers in the Philippines _____

Signature of Customer or Attorney-in-Fact	Date
Signature of Person Authorized to exercise discretion in account	Date
Signature of Salesman introducing in account	Date
Signature of partner, officer or manage accepting the account	Date



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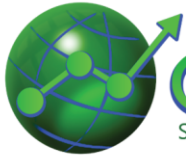
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CLIENT AGREEMENT

In consideration of your acting as the Broker for the named account, I/We (as the client) hereby consent and agree that:

- (1). The signature(s) written on this account opening form is/are correct and official signature (s) of the above-named account and the same may be used for verification of the said signature(s) at all times and for all purposes.
- (2). Each party to a common account is a principal, with joint and several liability and is privileged to operate same separately and independent of the other and to pledge and/or withdraw all funds, assets and securities unless otherwise specified in writing by the parties to such common account.
- (3). The Client hereby represents and warrants as follows: (i) he/she is of legal age, has full capacity and is not otherwise disqualified from executing this agreement and complying with the terms and conditions thereof. If the Client is a corporate applicant, the Client further represents and warrants that (ii) it is a corporation duly organized, validly existing and in good standing under the laws of the Philippines and under the laws of its origin (iii) the signatories and the signature(s) appearing on this form duly authorized signatories of the corporation and (iv) in case of foreign corporation, the execution, delivery, performances and observance of this agreement by the foreign corporation and by the Globalinks Securities & Stocks, Inc., hereinafter referred to as the "Broker" will not violate the laws of their jurisdiction and the laws of their jurisdiction allow them enter into and perform this agreement.
- (4). Orders for the purchase or sale of securities may be made by Client in writing or verbally, by phone, fax, e-mail, short messaging service (SMS) and other forms of communication upon which the Broker is hereby authorized to rely and act in accordance therewith. Broker shall exert its best effort to comply with Client's order and any action taken by Broker pursuant thereto shall bind Client when a duly signed confirmation notice of such order is issued and delivered or sent by fax, email or other forms of communication to Client at its given address or contact number.
- (5). In BUY orders, Client shall within three (3) days from date of purchase pay the cost of securities bought or ordered by Broker in behalf of the Client. Unless instructed otherwise by Client, the securities so purchased shall be made out as "street certificates" in the name of Broker. In SELL orders, the Client should have the securities subject of the sell order in custody with the Broker in scrip less form, lodged in the Broker's account with the Philippine Central Depository within three (3) days from the date of sale. The delivery of stock certificate in physical form will not constitute valid delivery for purpose of settlement of the sell order. Clients who have the intention of selling stocks in certificate form should have them cleared by the Transfer Officer through the Broker seven (7) days prior to selling.
- (6). Any and all securities or contracts relating thereto, now or hereafter held or carried by the Broker in any of the Clients account(s) (either individually or jointly with others) are to be held by the Broker as security or collateral for the payment of any liability to the Broker in any of said account(s), with the right on the part of the Broker to transfer monies or securities from any one account(s) to another when in the sole and exclusive judgment of the Broker such transfer maybe necessary, and all such Securities may from time to time, and without notice be pledged by the Broker either separately or in common with other securities, for any amount due upon the Client's account(s), without the Broker retaining in its possession or control for delivery a like amount of similar securities.
- (7). Failure to make payment or deliver the Securities by due date shall give right to the Broker, without due notice to customer, to liquidate the transaction by selling the shares or any shares in its possession which the Broker carries for the Client and applying its proceeds to the unsettled transaction. Any amount due from the Client to the Broker shall bear interest at a rate which shall be determined by the Broker and which rate shall be equivalent to the cost of the funds of the broker for maintaining such balances.
- (8). Whenever in its sole discretion, the Broker considers it necessary for its own protection in the event that a petition for bankruptcy or for the appointment of a receiver is filed by or against the Client(s) account, or in the event of the Clients death, the client hereby specifically authorizes and empower the Broker with the right to sell any or all securities in the Clients account(s) (whether carried individually or jointly with others) and herein pledges as collateral for the payment of any liability to the Broker in said account(s) to buy any and all securities to cover for any short-selling in such account(s), to transfer monies or securities from any one the Client's account(s) to another, and to close any and all outstanding obligations or contracts, all without demand for security or addition security, notice of sale or purchase, or other notice or advertisement; it being its discretion and in the case of a sale at public auction or on a stock exchange, the Broker may be the purchase for its own account. It is understood that a prior demand, notice or call or notice of the time and the place of such sale or purchase may be made by the Broker, it being further understood that the Client shall at all times be liable for



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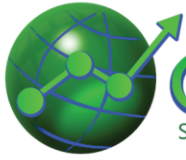
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- the payment of the indebtedness or unpaid balances owing in any of the Client (s) account(s) together with interest, other acts herein provided, and that the Client shall remain liable for any deficiency remaining in such account(s) in the event of liquidation.
- (9) For the purpose of selling, buying or performing other acts pursuant to the above paragraph, the Client hereby irrevocably appoints Broker, its successor or assigns, as its attorney-in-fact with full power to sell, buy or act for any accounts of Client (whether carried individually or jointly with others) and herein pledged, to be transferred to the name of Broker or its nominee. All acts and things, which Broker may do or cause to be done in accordance with the power herein conferred upon Broker, are hereby deemed ratified by Client.
- (10) It is agreed that in the event of any controversy or litigation arising out of this Agreement, the Broker's books shall be final and conclusive evidence of the amount due to broker. It is further agreed that this Agreement and any controversy arising thereunder, shall be subject to the provisions of the Philippine Constitution and the Rules and Regulations of the Philippines Stock Exchange, the Securities and Exchange Commission, the Philippine Central Depository and the Securities Clearing Corporation of the Philippines (SCCP), the Capital Markets Integrity Corporation and Customs and Usages of the Foregoing. It being understood that the said provisions, customs and usage shall be for all intents and purpose be deemed an integral part of this Agreement. Furthermore, in the event of any controversy or ligation arising out of this Agreement, the Broker shall be entitled to reasonable compensation for attorney's fees and costs of collection, which shall not in any event be less than twenty percent (20%) of the total amount of the Client's indebtedness then outstanding to the Broker. Finally, venue of any litigation shall be in proper Court of Makati, the parties hereby waiving any other venue.
- (11) It is agreed that all communications, whether by mail, telephone, messenger or otherwise sent to the Client at the address as appearing in the Broker's record constitute personal delivery to the Client: it being understood that in the event of the Client's failure to notify the Broker in writing of any change of address, telephone numbers, email address, all communications shall be directed to said last known address appearing in Broker's records. It is further agreed that all communications sent to the Client's indicated address shall be conclusive as to their correctness, in the absence of any written objection received by the Broker within twenty-four (24) hours from the time the communication was sent to the said address.
- (12) All charges, taxes and fees that is collected and will be collected from Client for execution of orders and maintenance of his/her account shall be disclosed upon signing this agreement.
- (13) Dormant accounts with no buy or sell activities for over two (2) years will be charged a service fee which shall be computed accordingly.
- (14) The Broker and Client undertake to notify each other, in the manner of giving notices, instructions or other communications of any material change in the information provided in connection with this Agreement by either the Broker or Client, as soon such material information is known to either party.
- (15) If any one or more term, stipulation or provision in this Agreement or any other document here in after executed in connection here with shall be deemed illegal, invalid or unenforceable in any respect, the remaining provisions shall not in any way be affected and shall remain fully effective.
- (16) This Agreement shall take effect immediately and shall continue to be in full force and effect until written notice of revocation is received by the other party. In case of such revocation, the terms here of shall continue to be valid and binding as to transactions entered into prior to revocation. This Agreement shall inure in favor of the Broker's successors and assigns and shall continue until signed notice of revocation is received.
- (17) This Agreement may be terminated by either party provided all cash and securities are properly transferred to the costumer and all cash and securities liabilities of the customer to the broker shall be fully settled.
- (18) **RISK DISCLOSURE:** The Client is aware that the price of securities can and does fluctuate and any individual security may experience upward or downward movements and may even become valueless. There is an inherent that losses may be incurred rather than profit made as a result of buying and selling securities.
- (19) The CLIENT is aware and hereby must be knowledgeable of the Rules and Regulations of the Philippine Constitution and the Rules and Regulations of the Philippine Stock Exchange (PSE), the Securities and Exchange Commission (SEC), the Philippine Central Depository (PDTDC), the Anti-Money Laundering Act (AMLA), the Securities Clearing of the Philippines (SCCP), the Capital Markets Integrity Corporation (CMIC) and other rules may deemed application with regard to trading of securities listed in the Philippines.
- (20) This Agreement shall take effect immediately and shall continue to be in full force and effect until a written notice of revocation is received by the other party of at least thirty (30) days. The transactions entered into shall be valid and take effect prior to the revocation of the Agreement is received.
- (21) The Agreement may be terminated by either party but the cash and securities must be properly accounted for, any unpaid obligation must be settled before payment.

All notices shall be sent to:

Judith M. Pormalejo
Compliance Officer
Email: judith.pormalejo@globalinks.com.ph



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SPECIMEN SIGNATURE

Mr () _____
Mrs () SURNAME FIRST NAME M.I.
Miss () _____

Please sign twice:

(1) _____ (2) _____

(1) _____ (2) _____

Signature Verified by: Globalinks Securities & Stocks, Inc.

By: _____

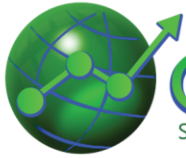
I/We hereby certify that I/we have read and understood the contents of this agreement and the risk disclosure statement as stated above. I/we have participated in an interview or meeting or meeting conducted by an associated person/salesman/agent designated by GLOBALINKS SECURITIES AND STOCKS, INC. (GSSI) at which meeting/interview compliance matters relevant to my/our investment activities as a customer was discussed. I/we undertake to promptly notify GSSI in writing in the event of any material change to the information provided in this agreement.

CLIENT SIGNATURE OVER PRINTED NAME

MAILING ADDRESS

ACKNOWLEDGEMENT AND ACCEPTED BY
GLOBALINKS SECURITIES AND STOCKS, INC.

Entered by: _____ Checked & Noted by: _____ Approved by: _____
Settlement Licensed Salesman Compliance Officer President / Nominee



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FATCA Information for Retail/Online Clients:

NAME: _____
Last Name First Name Middle Name

PLACE OF BIRTH: _____ US Citizen: ___ Yes ___ No

U.S. Address, if any: ___ Yes ___ No
(If Yes, please indicate whether Present, Permanent, Mailing) _____

U.S. Phone Number, if any: ___ Yes ___ No
(If Yes, please indicate including code and area code): _____+ _____ + _____
Country Code + Area Code + Phone Number

Did the customer stay in the U.S. for 183 days or more for the last 3 years? ___ Yes ___ No

This should be computed as follows under Section 7701(b)(3) of the US Tax Code:

- 1. All the days present in the current year, and*
- 2. 1/3 of the days present in the first year before the current year, and*
- 3. 1/6 of the days present in the second year before the current year.*

Is U.S. Tin Available? ___ Yes ___ No
(If Yes, please indicate U.S. TIN): _____

I/We, hereby represents that all information provided to Globalinks Securities & Stocks, Inc. (GLINKS) are true and correct to the best of my/our knowledge. I/We, agree to promptly notify GLINKS of any change with respect to the foregoing information, and to provide such further information as GLINKS may require.

Client Signature over Printed Name / Date